### **REMARKS**

The final Office Action, which was sent on September 12, 2005, has been received and reviewed.

Claims 1-35 are currently pending and under consideration in the above-referenced application, each standing rejected.

Reconsideration of the above-referenced application is respectfully requested.

### **Information Disclosure Statements**

Please note that Information Disclosure Statements were filed in the above-referenced application on April 24, 2001, June 21, 2001, September 23, 2002, and June 20, 2005, but that initialed copies of the Forms PTO-1449 and PTO/SB/08A that accompanied these Information Disclosure Statements have not been returned to the undersigned attorney, nor has the undersigned attorney been provided with any indication that the references listed on the Forms PTO-1449 and PTO/SB/08A have been considered or made of record in the above-referenced application.

It is respectfully requested that the references that have been cited in the Information Disclosure Statements dated April 24, 2001, June 21, 2001, September 23, 2002, and June 20, 2005, be considered and made of record in the above-referenced application and that initialed copies of the Forms PTO-1449 or PTO/SB/08A that accompanied these Information Disclosure Statements be were returned to the undersigned attorney as evidence of such consideration.

For the sake of convenience, second copies of the four (4) above-mentioned Information Disclosure Statements, their accompanying Forms PTO-1449 or PTO/SB/08A, and USPTO date-stamped postcards evidencing receipt of the same by the Office are enclosed with this communication.

### Rejections under 35 U.S.C. § 112, Second Paragraph

Claims 24-30 stand rejected under 35 U.S.C. § 112, second paragraph, for being drawn to subject matter that is purportedly indefinite. Specifically, it has been asserted that the structure

that has been recited with respect to the respiratory flow component of these claims, which is an element that is not positively set forth in the claims, is ambiguous.

The M.P.E.P. does not provide direct guidance on this issue. It does, however, provide guidance in a somewhat analogous situation—when functional language is used in apparatus claims. Specifically, M.P.E.P. § 2173.05(g) provides that such limitations "must be evaluated for what [they] reasonably convey[] to a person of ordinary skill in the art in the context in which [they are] used." It is respectfully submitted that reference to another, unclaimed apparatus for the sake of providing context may similarly be made to convey to one or ordinary skill the art the characteristics of certain features of a claim element. The standard that applies is: would one of ordinary skill in the art, in view of the description that has been provided, understand the scope of the subject matter recited in claims 24-30? M.P.E.P. § 2173.02.

By referring to corresponding features of an unclaimed respiratory flow component, the subject matter recited in claims 24-30 reasonably, and with clarity, conveys to one of ordinary skill in the art some idea as to scope of the elements recited in these claims. For example, in claim 24, reference to a "temperature control component" that is "configured to maintain [a] luminescable composition at a substantially constant temperature" merely indicates a defining function of the "temperature control component." Claims 25-30 similarly define elements of the claimed transducer in terms of corresponding features on a respiratory flow component. Although the respiratory flow component is not itself claimed, reference thereto is not at all ambiguous, as it provides one of ordinary skill in the art with an understanding of the characteristics of the features of the claimed transducer.

Therefore, it is respectfully submitted that claims 24-30 comply with the definiteness requirement of the second paragraph of 35 U.S.C. § 112, and that the subject matter recited in each of these claims is in condition for allowance. Accordingly, withdrawal of the 35 U.S.C. § 112, second paragraph, rejections of claims 24-30 is respectfully requested.

### Rejections under 35 U.S.C. § 103(a)

Claims 1-35 stand rejected under 35 U.S.C. § 103(a).

The standard for establishing and maintaining a rejection under 35 U.S.C. § 103(a) is set forth in M.P.E.P. § 706.02(j), which provides:

To establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. The teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art, and not based on applicant's disclosure. *In re Vaeck*, 947 F.2d 488, 20 USPQ2d 1438 (Fed. Cir. 1991).

### Stanley in View of Knodle

Claims 1-10, 13-15, and 17-35 stand rejected under 35 U.S.C. § 103(a) for reciting subject matter which is assertedly unpatentable over the subject matter taught in U.S. Patent 3,725,658 to Stanley et al. (hereinafter "Stanley"), in view of teachings from U.S. Patent 4,914,720 to Knodle et al. (hereinafter "Knodle").

Stanley teaches side stream monitoring apparatus that employ luminescence quenching technology for detecting changes in oxygen present in diverted respiratory samples. Knodle teaches an infrared carbon dioxide cuvette a complementarily configured transducer that may be assembled with the cuvette and dissembled therefrom

It is respectfully submitted that there are at least two reasons that a *prima facie* case of obviousness has not been established against any of claims 1-10, 13-15, or 17-35.

First, one of ordinary skill in the art would not have had the hindsight that the specification and claims of the above-referenced application provide to one of ordinary skill in the art. Without such knowledge, one of ordinary skill in the art wouldn't have been motivated to combine the teachings of Stanley and Knodle in the manner that has been asserted.

In particular, one of ordinary skill in the art would not have been motivated to apply teachings that relate to features of a so-called "mainstream" gas sensor, which is configured to

reside upon a breathing tube that communicates with the airway of a subject (Knodle), with a conventional "side-stream" gas sensor (Stanley). Further, one of ordinary skill in the art wouldn't have been motivated to apply teachings that relate to a relatively complex, two-piece sensor of the type required for mainstream gas sensing (Knodle) to a much simpler, self-contained, single-piece side-stream sensor (Stanley).

Furthermore, one of ordinary skill in the art wouldn't have been motivated to combine teachings from a luminescence quenching apparatus of the type taught in Stanley with teachings that pertain to an infrared sensing device, such as that taught in Knodle. Specifically, a luminescence quenching apparatus includes a sample container, such as the sample tube of Stanley, that is coated with a luminescent material, which one of ordinary skill in the art would expect to disrupt transmission radiation through the tube. In contrast, an infrared sensing device, such as that taught in Knodle, requires an unobstructed optical path through a sample cuvette.

Second, one of ordinary skill in the art would have had no reason to expect that the purported combination of Stanley and Knodle would have been successful, particularly when the teachings of these references are considered in their entireties, as required by M.P.E.P. § 2141.02. For example, the luminescent material-coated tube, sensor, and detector of Stanley are part of an integral unit; thus, there would be no reason to couple the transducer of Knodle to the apparatus of Stanley. Even assuming, for the sake of argument, that the transducer of Knodle could be coupled to the apparatus of Stanley, the wavelengths that are generated and detected by the source and detector of Knodle's transducer would not excite or detect fluorescence from the luminescent material within the apparatus that is taught in Stanley. Moreover, the presence of the luminescent material on the surfaces of the tube would likely interfere with the infrared transmission that is required to obtain respiratory carbon dioxide measurements in accordance with the teachings of Knodle. Therefore, one of ordinary skill in the art would not have any reason to expect that features from the mainstream infrared sensor taught in Knodle could be incorporated into the sidestream luminescence quenching sensor taught in Stanley.

Third, both Stanley and Knodle lack any teaching or suggestion of each and every element of several claims of the above-referenced application.

Neither Stanley nor Knodle teaches or suggests a transducer with a detector that is configured to communicate with a processor configured to increase a signal-to-noise ratio of a signal indicative or an intensity of at least one wavelength of electromagnetic radiation emitted by a luminescable composition, as required by claim 3. The teachings of Stanley regarding signal-to-noise ratios are limited to the recognition that a high ratio is desirable.

Stanley and Knodle also lack any teaching or suggestion of a transducer that includes a detector that is configured to communicate with a processor that operates under different processing protocols depending upon the monitored oxygen concentration, as recited in claim 5.

Claims 6 and 7 are directed to allowable subject matter since Stanley and Knodle both lack any teaching or suggestion of a tranducer with a detector that comprises a photodiode or a transducer that comprises a PIN silicon photodiode, respectively.

With respect to the subject matter recited in claim 17, neither Stanley nor Knodle teaches or suggests a transducer with a second radiation source that emits at least a calibration wavelength of electromagnetic radiation. The teachings of Stanley are instead limited to calibration of a sensor with gas mixtures including known concentrations of oxygen.

Claim 18, which depends from claim 17, is also allowable since neither Stanley nor Knodle includes any teaching or suggestion of a transducer with a second radiation source that emits calibration radiation that will not cause a luminescable material of a sensor that configured for assembly with the transducer to luminesce.

With respect to the subject matter recited in claims 25-30, even assuming, *arguendo*, that Stanley suggests the desirability of including a temperature control component in a transducer, neither Stanley nor Knodle teaches or suggests a transducer with a heater component that is configured to contact a thermal capacitor upon assembly of the transducer with a respiratory flow component, as recited in claim 25; a transducer with a temperature control component exposed therethrough, as recited in claim 26; a transducer with a heater component that is configured to be biased against a thermal capacitor of a respiratory flow component, as recited in claim 27; a transducer with a heater component that includes a thick film heater, as recited in claim 28; or a transducer with a temperature sensor that senses a temperature of a heater component, a capacitor, or a luminescable composition, as recited in claim 30.

It is, therefore, respectfully submitted that a *prima facie* case of obviousness has not been established against any of claims 1-10, 13-15, and 17-35. Therefore, under 35 U.S.C. § 103(a), the subject matter recited in each of claims 1-10, 13-15, and 17-35 is allowable over the subject matter taught in Stanley and Knodle.

### Stanley, Knodle, and Yafuso

Claims 11 and 12 are rejected under 35 U.S.C. § 103(a) for being drawn to subject matter that is allegedly unpatentable over the teachings of Stanley, in view of teachings from Knodle and, further, in view of the subject matter taught in U.S. Patent 4,849,172 to Yafuso et al. (hereinafter "Yafuso").

Yafuso does not remedy the aforementioned deficiencies of Stanley and Knodle.

Therefore, claims 11 and 12 are both allowable, among other reasons, for respectively depending directly and indirectly from claim 1, which is allowable.

### Stanley, Knodle, and Hauenstein

Claim 16 has been rejected under 35 U.S.C. § 103(a) for being drawn to subject matter which is assertedly unpatentable over that taught in Stanley, in view of teachings from Knodle and, further, in view of the teachings of U.S. Patent 4,861,727 to Hauenstein et al. (hereinafter "Hauenstein").

Hauenstein does not remedy the aforementioned deficiencies of Stanley and Knodle. Therefore, claim 16 is allowable, among other reasons, for depending directly from claim 1, which is allowable.

### CONCLUSION

It is respectfully submitted that each of claims 1-35 is allowable. An early notice of the allowability of each of these claims is respectfully solicited, as is an indication that the above-referenced application has been passed for issuance. If any issues preventing allowance of the above-referenced application remain which might be resolved by way of a telephone conference, the Office is kindly invited to contact the undersigned attorney.

Respectfully submitted,

Brick G. Power

Registration No. 38,581 Attorney for Applicants

TRASKBRITT, PC

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Telephone: 801-532-1922

Date: December 12, 2005

BGP/dlm:eg
Document in ProLaw





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lawrence L. Labuda et al.

Serial No.: Not Yet Assigned

Filed: April 24, 2001

For: OXYGEN MONITORING

**APPARATUS** 

Examiner: Unknown

Group Art Unit: Unknown

Attorney Docket No.: 4785.2US

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EL 740533041 US

Date of Deposit with USPS: April 24, 2001

Person making Deposit: Daniel Thatcher

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

The present application is a divisional of application Serial No. 09/128,918, filed August 4, 1998, pending.

Pursuant to M.P.E.P. 2001.06(b), the Examiner is respectfully requested to consider the information of record in the prior application, and to confirm in the first Office Action on the merits that such art has in fact been reviewed. A PTO-1449 form listing all of the information of record in the prior application is enclosed herewith.

Attorney Docket: 4785.2US

This Information Disclosure Statement is filed within three (3) months of the filing date of the above-identified application, and no certification pursuant to 37 C.F.R. § 1.97(c) or a fee pursuant to 37 C.F.R. 1.17(p) is required.

Respectfully submitted,

Brick G. Power

Registration No. 38,581

Attorney for Applicants

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P. O. Box 2550

Salt Lake City, Utah 84110-2550

Telephone: (801) 532-1922

Date: April 24, 2001

BGP/ps:blh

Enclosure:

Form PTO-1449

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Form PTO-1449

### INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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Docket Number (Optional) 4785.2US	Application Number Not Yet Assigned
Applicant Labuda et al.	

(Use several sheets if necessary)		Filing Date April 24, 2001		Group Art Unit <b>Unknown</b>				
		U.S	. PATENT	DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DA	
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	3,429,667	02/1969	Hart et al.					
	3,612,866	10/1971	Stevens					
	3,725,658	04/1973	Stanley et	al. ,,,,,	<u> </u>			
	3,734,691	05/1973	Kukla et a	l				
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	3,830,222	08/1974	Chance					
	4,003,707	01/1977	Lübbers et	al.				
	4,223,226	09/1980	Quick et a	l				•
<u> </u>	4,245,507	01/1981	Samulski		-			
	4,272,485	06/1981	Lübbers					
		FOREI	GN PATEN	T DOCUMENTS	; 			
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**Docket Number (Optional) Application Number** Form PTO-1449 4785.2US Not Yet Assigned INFORMATION DISCOURÉ CITATION Applicant Labuda et al. IN AN APPLICATION Filing Date April 24, 2001 (Use several sheets if necessary) Group Art Unit Unknown **U.S. PATENT DOCUMENTS** DOCUMENT NUMBER **EXAMINER** FILING DATE DATE NAME CLASS SUBCLASS INITIAL IF APPROPRIATE 03/1982 **Buckles** 4,321,057 4,399,099 08/1983 **Buckles** 4,437,772 03/1984 Samulski 4,476,870 10/1984 Peterson et al. RE 31,832 02/1985 Samulski RE 31,879 05/1985 Lübbers et al. 4,542,987 09/1985 Hirschfeld 4,568,518 02/1986 Wolfbeis et al. 4,587,101 05/1986 Marsoner et al. 4,608,344 08/1986 Carter et al. 4.652.143 05/1987 Wickersheim et al. FOREIGN PATENT DOCUMENTS Translation DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Sheet 3 of 7

Form PTO-1449

**EXAMINER** 

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### INFORMATION DISCESSE CITATION IN AN APPLICATION

(Use several sheets if necessary)

DOCUMENT

NUMBER 4,657,736

4,682,895

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4785.	2US	

**Application Number** Not Yet Assigned

Applicant Labuda et al.

Filing Date April 24, 2001

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Barnikol et al.

Khalil et al.

Group Art Unit Unknown

#### FILING DATE CLASS SUBCLASS DATE NAME IF APPROPRIATE 04/1987 Marsoner et al. 07/1987 Costello 03/1988 Wong Gifford et al. 06/1988 06/1988 Murray, Jr. et al.

#### 04/1989 Groves et al. 07/1989 Yafuso et al. 08/1989 Hauenstein et al. 09/1989 Carter et al.

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**EXAMINER** 

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## m PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket	Number	(Optional)
470E	2116	

**Application Number** Not Yet Assigned

Applicant Labuda et al.

(Use several sheets if necessary)			Filing Date April 24, 2001		Group Art Unit Unknown		
	U.S	. PATENT	DOCUMENTS				
DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DA	
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5,012,809	05/1991	Shulze					
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	4,892,383 4,892,941 4,895,156 4,919,891 4,954,318 4,968,632 4,973,718 5,012,809 5,030,420 5,034,189 5,043,286	DOCUMENT NUMBER DATE  4,892,383 01/1990  4,892,941 01/1990  4,895,156 01/1990  4,919,891 04/1990  4,954,318 09/1990  4,968,632 11/1990  4,973,718 11/1990  5,012,809 05/1991  5,030,420 07/1991  5,034,189 07/1991  5,043,286 08/1991  FOREIC	DOCUMENT NUMBER	NUMBER       DATE       NAME         4,892,383       01/1990       Klainer et al.         4,892,941       01/1990       Dolphin et al.         4,895,156       01/1990       Schulze         4,919,891       04/1990       Yafuso et al.         4,954,318       09/1990       Yafuso et al.         4,968,632       11/1990       Brauer et al.         4,973,718       11/1990       Buchler et al.         5,012,809       05/1991       Shulze         5,030,420       07/1991       Bacon et al.         5,034,189       07/1991       Cox et al.         5,043,286       08/1991       Khalil et al.	DOCUMENT NUMBER	DOCUMENT NUMBER	DOCUMENT NUMBER

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

DEC 1-5-2005

Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket	Number	(Optional)
479E	2116	

Application Number
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Applicant Labuda et al.

Filing Date April 24, 2001

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DA	
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·	5,047,350	09/1991	Switalski et al.				
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	5,081,041	01/1992	Yafuso et al.				
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Form PTO-1449

# INFORMATION DISCUSSION E CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket	Number	(Optional)
4795	2115	

Application Number
Not Yet Assigned

Applicant Labuda et al.

Filing Date April 24, 2001

Group Art Unit Unknown

EXAMINER	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DA	
INITIAL	NUMBER 5 104 201					IF APPRO	TWAIL
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	5,234,835	08/1993	Nestor et al.				
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	5,285,783	02/1994	Secker				
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	2 132 348	07/1984	Great Britain	-	-		
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	Quenchir	ng of a Polymer-	N.; "Determination of Oxygen Immobilized Transition-Metal ( 23, December 1, 1987.	Concentratio Complex;" pg	ns by Lumir s. 2780-27	nescence 85 <u>, Ana</u>	e lytica
	quenchin	g and employing	T.; "Optical oxygen sensor b a polymer immobilised metal 10, <u>Medical &amp; Biological Engi</u>	loporphyrin p	robe, Part 1	Theory	and
	guenchin	g and employing	T.; "Optical oxygen sensor by a polymer immobilised metal pgs. 11-21, Medical & Biolog	loporphyrin p	robe, Part 2	Sensor	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet 7 of 7

m PTO-1449
INFORMATION DISCLUSIVE CITATION

IN AN APPLICATION

Form PTO-1449

**Docket Number (Optional)** 4785.2US

**Application Number** Not Yet Assigned

Applicant Labuda et al.

(Use several sheets if necessary)				Filing Date April 24, 2001 Grou		Group Art U	oup Art Unit <b>Unknown</b>		
			U.S.	PATENT	DOCUMENTS				
EXAMINER INITIAL		OCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DAT	
	5,3	326,585	07/1994	Nelson et	al.				
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	5,7	18,842	02/1998	Papkovsky	et al.				
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	Kolle, C., O'Leary, P.; "Optical Oxygen Sensor for Breath-Gas Analysis;" Report Institute for Chemical and Optical Sensors; Report No: COS 95.001, February 1995.								
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### In re Application of:

Lawrence L. Labuda et al.

Serial No.: 09/841,363

Filed: April 24, 2001

For: OXYGEN MONITORING APPARATUS

Examiner: Unknown

Group Art Unit: 1743

Attorney Docket No.: 4785.2US

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents. Washington, D.C. 20231.

June 18, 2001 Date of Deposit

Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 126(a) I(i)

Deidra J. Pfeil
Typed/printed name of person whose signature is contained above

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a). The listed documents were cited by the Office in co-pending application Serial No. 09/128,918, filed on August 4, 1998, and directed to a related invention.

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as

Attorney Docket: 4785.2US

defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

### **DOCUMENTS**

### **U.S.** Patent Documents

U.S. Patent No.	Issue Date	<u>Inventor</u>
4,914,720	04/1990	Knodle et al.
5,445,160	08/1995	Culver et al.
5,789,660	08/1998	Kofoed et al.
5,931,161	08/1999	Keilbach et al.
6,095,986	08/2000	Braig et al.
6,190,327	02/2001	Isaacson et al.

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed within three (3) months of the filing date of the above-identified application, and therefore no fee is due.

Respectfully submitted,

Brick G. Power

Registration No. 38,581 Attorney for Applicants

TRASKBRITT, PC

P. O. Box 2550

Salt Lake City, Utah 84110-2550

Telephone: (801) 532-1922

Date: June 18, 2001

BGP/ps:djp

Enclosures: Form PTO-1449

Copy of documents cited

N:\2181\4785.2\Supp IDS.wpd

Form PTO-1449

### INFORMATION DISCLOSURE CITATION IN AN APPLICATION

DEC 1 5 2005.

Application Number 09/841,363

Applicant Lawrence L. Labuda et al.

**Docket Number (Optional)** 

4785.2US

### (Use several sheets if necessary) Filing Date April 24, 2001 Group Art Unit 1743 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT FILING DATE IF APPROPRIATE NAME CLASS SUBCLASS DATE NUMBER INITIAL 04/1990 Knodle et al. 4,914,720 5,445,160 08/1995 Culver et al. 08/1998 Kofoed et al. 5,789,660 5,931,161 08/1999 Keilbach et al. 6,095,986 08/2000 Braig et al. 6,190,327 02/2001 Isaacson et al. FOREIGN PATENT DOCUMENTS Translation DOCUMENT CLASS SUBCLASS COUNTRY DATE NUMBER NO YES OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if

not in conformance and not considered. Include copy of this form with next communication to the applicant.





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### In re Application of:

Labuda et al.

Serial No.: 09/841,363

Filed: April 24, 2001

For: OXYGEN MONITORING

**APPARATUS** 

Confirmation No.: 6854

Examiner: J. Snay

Group Art Unit: 1743

Attorney Docket No.: 2181-4785.2US

(98-55 D2)

### CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

June 17, 2005

Date

Erika Gandre

Signature

Name (Type/Print)

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as

defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

### U.S. Patent Documents

U.S. Patent No.	Publication Date	<u>Patentee</u>
US - 4,633,705	01/06/1987	Merilainen et al.
US - 4,784,486	11/15/1988	Van Wagenen et al.
US - 5,347,843	09/20/1994	Orr et al.
US - 5,379,650	01/10/1995	Kofoed et al.
US - 5,570,697	11/05/1996	Walker et al.
US - 5,625,189	04/29/1997	McCaul et al.

Applicants offer to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits.

The fee pursuant to 37 C.F.R. § 1.17(p) is enclosed.

Respectfully submitted,

Brick G. Power

Registration No. 38,581

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Telephone: 801-532-1922

Date: June 17, 2005

BGP/dlm:eg

Enclosures: Form PTO-1449 or PTO/SB/08

Copy of documents cited

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

on Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 09/841,363

 Filing Date
 April 24, 2001

 First Named Inventor
 Labuda et al.

 Group Art Unit
 1743

 Examiner Name
 J. Snay

 Attorney Docket Number
 2181-4785.2US (98-55 D2)

(use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number 2

			U.S. PATENT D	Name of Patentee or Applicant of	
Examiner Initials *	Cite No.	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<del>- </del> -	US-4,633,705	01/06/1987	Merilainen et al.	
	<del> </del>	US-4,784,486	11/15/1988	Van Wagenen et al.	
	<del>                                     </del>	US- 5,347,843	09/20/1994	Orr et al.	
	1 -	US- 5,379,650	01/10/1995	Kofoed et al.	
	$\top$	US- 5,570,697	11/05/1996	Walker et al.	
	1	US- 5,625,189	04/29/1997	McCaul et al.	
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		Foreign Patent Document	ATENT DOCUM	Name of Patentee or	Pages, Columns, Lines,	ĺ
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PATENT & TRADEMARK OFFICE MAILROOM D STAMPED HEREON IS AN ACKNOWLEDGEMENT THAT ON THIS DATE THE PATENT & TRADEMARK OFFICE RECEIVED:

Utility Patent Application Transmittal (1 page); Fee Transmittal for FY 2001 (1 page, in duplicate); Check No. 16312 in the amount of \$490.00; Specification, including cover page, claims and single-page abstract (50 cres); Formal Drawings (16isheets; 27 figures); Copy of Power of Attorney, Revocation of Prior Power of Attorney, and Request for Correspondence Address, with attached Assignment (7 pages); Information Disclosure Statement (2 pages); and Form PTO-1449 (7 pages)

Invention: OXYGEN MONITORING APPARATUS

Applicant(s): Lawrence L. Labuda et al.

Filing Date: April 24, 2001

Serial No.: Not Yet Assigned J

Date Sent: April 24, 2001 via Express Mail, Label No. EL 740533041 US

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Client/Matter Docket No.: 2181/4785.2US

BGP/ps:blh



THE PATENT & TRADEMARK OFFICE MAILROOM DATE STAMPED HEREON IS AN ACKNOWLEDGEMENT THAT ON THIS DATE THE PATENT & TRADEMARK OFFICE RECEIVED:

Supplemental Information Disclosure Statement (2 pages); and Form PTO-1449 (1 page), with copy of cited references (6 documents)

Invention: OXYGEN MONITORING APPARATUS

Applicant(s): Lawrence L. Labuda et al.

Filing Date: April 24, 2001

Serial No.: 09/841,363

Date Sent: June 18, 2001 via First-Class Mail Client/Matter Docket No.: 2181/4785.2US

BGP/ps:djp



